

## GDOP 02 – The Cancellation of a Green Deal Plan Prior to Initial Notification

### Document Control

Version	Status	Author	Release Date	Reason for release
1.0	FINAL	MRA Green Deal Project	01/07/2012	Baseline technical requirements for the update of a Green Deal Plan Record in the GDCC to a status of 'CANCELLED'
2.0	FINAL	MRA Green Deal Project	23/07/2012	Revised baseline requirements
3.0	FINAL	Dan Cantle, Paul McDowell	15/02/2013	Updated baseline for GDCC R02.0
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### Document Purpose

This document provides a technical overview of the GDCC and associated functionality required to support the GDCC Green Deal Market Scenarios (GDMS) as defined within the *MRA Agreed Procedure for the Green Deal Central Charge Database* ("MAP 18").

For the avoidance of doubt, this document is not maintained under the governance of the MRA Change Process, but rather is under the control of the MRASCo Central Administration Service (CAS) for use in the development and testing of GDCC solutions and as such forms part of the agreed GDCC User Requirements Specification for the GDCC release R12.0 baseline.

APPROVED

## Summary

This scenario covers (from a GDCC perspective) the process for the cancellation of a Green Deal Plan record in the GDCC prior to a GD Plan Actual End Date being created; it includes the following:

- The request being made by the Green Deal Provider or GDAA Finance Party (in either case referred to as the GD Provider in this process);
- Validation checks carried out by the GDCC against the Green Deal Plan record;
- Notifications from the GDCC to the relevant EPC Register; and
- GDCC responses to the GD Provider for both successful and unsuccessful requests to cancel the Green Deal Plan

The following pre-requisites apply to the use of this process:

- The Green Deal Plan record is at a status of 'NEW' in the GDCC.

The following DTN data flows are used within this process:

- D0321 GD Provider Update to Plan Information
- D0344 Response from GDCC to GD Provider

## Market Process Scenario

The process steps within this scenario are aligned to those in the accompanying process workflow. A list of GDCC response codes is also provided cross-referenced to the market process scenario

Step	Activity	Input Step	Output Step
1.1	<p>Where a GD Provider determines that a Green Deal Plan record with a status of 'NEW' in the GDCC is not to be progressed, they will generate a D0321 data flow to the GDCC containing:</p> <ul style="list-style-type: none"> <li>a) The <b>GD Plan ID</b> for the Green Deal Plan to be cancelled;</li> <li>b) A unique sequential <b>Party Instruction Number</b>; and</li> <li>c) The <b>GD Provider Update Reason Code</b> populated with a value of 'C' to indicate a request to cancel the Green Deal Plan.</li> </ul> <p><b>NOTE:</b> No other groups or data items in the flow should be populated</p>	STARTS OR 6.3	1.2
1.2	The GDCC processes the incoming D0321 data flow and updates logs and records accordingly.	1.1	2.1
2.1	<p>The GDCC carries out validation checks on the data received. These being that:</p> <ul style="list-style-type: none"> <li>a) The <b>Party Instruction Number</b> provided is unique for the sending party;</li> <li>b) Only the required fields are populated;</li> <li>c) The <b>GD Plan ID</b> provided is present in the database;</li> <li>d) The Green Deal Plan is at a status of 'NEW';</li> <li>e) That the sending party is the GD Provider associated to the <b>G D Plan ID</b> in the GDCC; and</li> <li>f) The <b>GD Provider MPID</b> is both valid and associated with the appropriate role in GDMD for the effective date of the proposed Green Deal Plan record status update.</li> </ul>	1.2	3.1
2.2	<p>The GDCC will determine the appropriate action based on the results of the validation check carried out, and will either:</p> <ul style="list-style-type: none"> <li>a) Generate an exception with reasons for rejection via a D0344 data flow (step 4.1); or</li> <li>b) Process the request to update the Green Deal Plan (step 7.1).</li> </ul>	2.1	6.1 (PASS) / 3.1 (FAIL)

Step	Activity	Input Step	Output Step
3.1	Where the Data fails validation at step 2.1, the GDCC will record the reject reasons for generation in D0344 data flow. The rejection will also include the GD Providers incoming <b>Party Instruction Number</b> as a reference point. The D0344 data flow will include all reasons for the rejection of the update.	2.2	4.1
4.1	Where a D0344 data flow rejecting the request to cancel the Green Deal Plan record is received by the GD Provider and they determine that the Green Deal Plan is already at a status of 'CANCELLED' or 'COMPLETED', they will not need to take any further action.	3.1	ENDS (NO ACTION) OR 4.2 (TAKE FURTHER ACTION)
4.2	Where the relevant Green Deal Plan is not at a status of 'CANCELLED' or 'COMPLETED', the GD Provider will need to determine the appropriate action.	4.1	5.1
5.1	The GD Provider will need to determine if the Green Deal Plan needs to be set to 'COMPLETED', or if corrected data should be input to set it to 'CANCELLED'.	4.2	5.2 (set to COMPLETED) OR 5.3 (set to CANCELLED)
5.2	Where the Green Deal Plan needs to be set to a status of 'COMPLETED', the GD Provider will follow the GDOP 08 <i>GD Provider Updates to Green Deal Charge Details</i> process.	5.1	END
5.3	Where the Green Deal Plan needs to be set to 'CANCELLED', the GD Provider shall resolve any data issues and submit a new D0321 data flow via step 1 of this process.	5.1	RESTART PROCESS ( SUBMIT NEW D0321 WITH AMENDED DATA)

Step	Activity	Input Step	Output Step
6.1	Where data has passed validation, the GDCC will update the status of the associated Green Deal Plan to 'CANCELLED', thus effectively 'retiring' the unique ID and preventing its future use.	2.2	7.1
7.1	Where a Green Deal Plan record status is successfully updated to 'CANCELLED' in the GDCC, an update will be sent to the relevant EPC register containing: <ul style="list-style-type: none"> <li>• The <b>GD Plan Id</b>;</li> <li>• The <b>GD Plan Record Status</b> ("CANCELLED"); and</li> <li>• The effective date of the Green Deal Plan Status change</li> </ul>	6.1	8.1
8.1	Once the Green Deal Plan record status has been updated to 'CANCELLED', the GDCC will generate a D0344 data flow to inform the GD Provider of the fact.	7.1	8.2
8.2	On receipt of the D0344 data flow, the GD Provider may update their systems accordingly.	8.1	ENDS

## GDCC and Party Response Codes used in the Scenario

Step	Event	Flow	Response Code Generated	Flow
2.1	Not all mandatory data is provided	D0321	301 Not all mandatory data provided	D0344
	The GD Plan Id is not present in the database or is in an invalid format		319 GD Plan ID invalid	
	The Green Deal Plan is not at a status of 'NEW'		320 Green Deal Plan not at correct status	
	The reason code provided is not in the valid set for the data item		327 Invalid Reason Code provided	
	The GD Provider sending the flow is not associated to the GD Plan Id in the GDCC		339 Green Deal Plan record not associated to GD Provider	
	A duplicate party instruction number is provided		350 Invalid Party Instruction Number	
	There is data in unexpected groups in the flow where the reason code is correctly set		352 Unexpected data for Reason Code provided	
	The Combination of MPID and Market Participant Id for the sender is not valid in GDMD for the EFD of the incoming transaction		367 Combination of MPID and Market Role Id not valid in GDMD for Sender for EFD	
8.1	Request completed, status updated.	D0321	101 Request processed successfully	D0344

## Market Process Scenario Workflow

